

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Kauffman, et al. Confirmation No.: 3692
Appl No.: 09/868,981 Group Art Unit: 3692
Filed: June 25, 2002 Examiner: Lindsay M. Maguire
Title: A SYSTEM AND METHOD FOR THE ANALYSIS AND PREDICTION
OF ECONOMIC MARKETS

**NOTICE OF CHANGE OF STATUS FROM LARGE TO SMALL ENTITY FOR
U.S. PATENT APPLICATION NO. 09/868,981**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

This Notice of Change of Status is submitted to notify the Patent and Trademark Office that the assignee of the subject patent application has concluded that they are presently a Small Entity interest under 37 CFR §1.27.

The issue fee for this granted application was paid on March 11, 2010 at the large entity rate of \$1,510, prior to confirmation that the subject patent application qualifies as a small entity. Accordingly, a request for refund of \$755 has been submitted under separate cover to refund excess fees paid due to the overpayment of the issue fee for a large entity versus a small entity.

Respectfully submitted,

/Henry B. Ward, III/

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